

## TDA COMPLIANCE, INC.

### OUR CONTINUING PRIVACY COMMITMENT TO YOU

Federal privacy laws require that we explain to you how we handle “nonpublic personal information.” This is information that in the course of our relationship with you, we receive or develop about you. It includes (1) information you provide to us orally or on applications or other forms and (2) information we develop about you in the course of providing our services to you.

TDA provides compliance consulting services to investment advisors (“Advisor”). In order to provide these services, TDA requests information regarding Advisor's business and its clients. This information may include, but is not limited to, client files, securities trading information, client statements, client suitability information, billing information, internal compliance procedures, and trading practices.

All information received from Advisor is confidential and will not be released to third parties unless required by law (e.g., subpoena or formal regulator request). In the event a subpoena or formal regulator request is received, TDA will contact Advisor prior to the information being disseminated.

TDA will safeguard all confidential information to the best of its abilities. TDA uses both hardware and software firewalls, virus scanning software, and password protected computer systems (with multi-factor authentication where appropriate). Termination of the relationship between TDA and Advisor will not affect TDA's commitment to safeguarding any confidential information. Access to the information is limited to Melissa Dehn and Gerrit van der Zwan.

If you have any questions about our practices with respect to your public and nonpublic personal information, you may contact us by e-mail, telephone, or mail at the following:

Mr. Gerrit van der Zwan  
TDA Compliance, Inc.  
808 SE Chkalov Dr., Suite 3-322  
Vancouver, WA 98683  
(360) 737-4153  
gerrit@tdacompliance.com

**Messaging:** No mobile or messaging consent information will be shared with third parties/affiliates for marketing/promotional or any other purposes. All the above categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties. By providing your phone number, you agree to receive text messages from us. Message and data rates may apply. Frequency of messages may vary. Reply STOP to opt-out at any time. For assistance, reply HELP or contact our support team. Your privacy is important to us.